## genzyme

Legal FAX

DATE: 4/22/04

TO: Examiner Karen Canella

COMPANY: USPTO

FAX: 703-872-9306

FROM: Elizabeth Lassen

E-MAIL: elizabeth.lassen@genzyme.com

PHONE: 508-270-2553

FAX: 508-872-5415

NO. OF PP (inc. cover): 10

### Dear Examiner Canella:

Further to your voicemail message, enclosed find the response to the September 10, 2003 non-final Office Action as filed on March 10, 2004. If you have any further questions, please do not hesitate to contact me.

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APR 2 2 2004

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Thank you

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## PLEASE ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

A Transmittal; Fee Transmittal (in duplicate); Response to non-final Office Action mailed September 10, 2003 from the USPTO (11 pages); Petition for Extension of Time (in duplicate); unexecuted Declaration and Power of Attorney (4 sheets) all including Certificates of First 61438 E Mailing Labels.

APPLICANT:
APPLICANT:
09
FILING DATE:
A

ROBERTS et al. 09/826,609

April 5, 2001
GENES DIFFERENTIALLY EXPRESSED IN CANCER CELLS TO DESIGN CANCER

VACCINES

03/10/04

GA0150C

PAGE 2/20 \* RCVD AT 4/22/2004 2:36:55 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729306 \* CSID:508 872 5415 \* DURATION (mm-ss):11-30

|  |                                      |   | PTO/SB/21 (08-00)   |  |  |  |  |  |  |
|--|--------------------------------------|---|---|--|--|--|--|--|--|
| Please type a plus sign (+) inside this box  |                                      | U.S. Patent and Trademark                               | for use through 10/31/2002. OMB 0651-0031 Office: U.S. DEPARTMENT OF COMMERCE   |  |  |  |  |  |  |
| Under the Paperwork Reduction Act of 1995, no                                      | persons are re                       | equired to respond to a collection of inform            | nation unless it displays a valid OMB control number.   |  |  |  |  |  |  |
|  |                                      | Application Number                                      | 09/826,609  |  |  |  |  |  |  |
| TRANSMITTAL  |                                      | Filing Date   | April 5, 2001   |  |  |  |  |  |  |
| FORM (to be used for all correspondence after initial filing)                      |                                      | First Named Inventor                                    | ROBERTS et al.  |  |  |  |  |  |  |
|  |                                      | Group Art Unit  | 1642  |  |  |  |  |  |  |
|  |                                      | Examiner Name   | Karen A. Canella  |  |  |  |  |  |  |
| Total Number of Pages in This Submission 20  |                                      | Attorney Docket Number                                  | GA0150C   |  |  |  |  |  |  |
|  | ENCL                                 | OSURES (check all (hat apply)                           |   |  |  |  |  |  |  |
| □ Fee Transmittal Form   |                                      | ment Papers<br>Application)                             | After Allowance Communication to Group  |  |  |  |  |  |  |
| Fee Attached   | ☐ Drawîr                             | ng(s)   | Appeal Communication to Board of Appeals and Interferences  |  |  |  |  |  |  |
|  | Licens                               | ing-related Papers                                      | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)   |  |  |  |  |  |  |
| After Final (// pages)   | Petitio                              | n   | Proprietary Information   |  |  |  |  |  |  |
| Affidavits/declaration(s)  |                                      | n to Convert to a<br>lonal Application                  | Status Letter   |  |  |  |  |  |  |
| Extension of Time Request  |                                      | of Attorney, Revocation<br>ge of Correspondence Address | Other Endosure(s) (please identify below):  |  |  |  |  |  |  |
| (iN dup.)  | │<br>│                               | nal Disclaimer  | Unexecuted Declaration and  |  |  |  |  |  |  |
| Express Abandonment Request  | Reque                                | est for Refund  | Power of Attorney Form (4 sheets); and return postcard  |  |  |  |  |  |  |
| Information Disclosure Statement   | ☐ CD, N                              | umber of CD(s)  |   |  |  |  |  |  |  |
| Certified Copy of Priority Document(s)   | Rema                                 | arks  | · · · · · · · · · · · · · · · · · · ·   |  |  |  |  |  |  |
| Response to Missing Parts/<br>Incomplete Application                               |                                      |   |   |  |  |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53                                |                                      |   |   |  |  |  |  |  |  |
| SIGNA  | TURE OF                              | APPLICANT, ATTORNEY, C                                  | R AGENT   |  |  |  |  |  |  |
| Elizabeth Lassen   | A*                                   |   |   |  |  |  |  |  |  |
| Firm Genzyme Corpora or 15 Pleasant Stree  |                                      |   |   |  |  |  |  |  |  |
| Individual name P.O. Box 9322  |                                      |   |   |  |  |  |  |  |  |
| Signature Frapringham, Mas   | Frapringham, Massachusetts 017979322 |   |   |  |  |  |  |  |  |
| Date Ma . 4  | 10 -2                                | and l   |   |  |  |  |  |  |  |
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|  |                                      | ERTIFICATE OF MAILING                                   | tal Candan as Sept along well in an excellent   |  |  |  |  |  |  |
| I hereby certify that this correspondence addressed to: Assistant Commissioner for |                                      |   | tal Service as first class mail in an envelope ate: March 10, 2004  |  |  |  |  |  |  |
| Typed or printed name TABYN ANT  |                                      |   |   |  |  |  |  |  |  |
| Signature / WW   | 1 llut                               | alek  | Date 3-10-2004  |  |  |  |  |  |  |
| comments on the amount of time you are recu  | ifed to compl                        | ete this form should be send to the C                   | pending upon the needs of the individual case. Any<br>hief information Officer, U.S. Patent and Trademark<br>ADDRESS. SEND TO: Assistant Commissioner for |  |  |  |  |  |  |

PTO/SB/17 (10-03)

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| CEE TO A NOMITTA  |            | Complete If Known                             |                |            |                                     |   |             |  |
| FEE TRANSMITTA  |            | Application Number                            |                |            | 09/826,609                          |   |             |  |
| for FY 2004   |            | Filing Date                                   |                | April 5, 2 | April 5, 2001                       |   |             |  |
|   |            | First Named Inventor                          |                | ROBER      | ROBERTS et al.                      |   |             |  |
| Effective 1010112003, Patent lass are subject to annual revision.   |            | Examiner Name                                 |                | Karen A    | Karen A. Canella                    |   |             |  |
| Applicant claims small entity status. See 37 CFR 1.27   |            | Art Unit                                      |                |            | 1642                                | 1642  |             |  |
| TOTAL AMOUNT OF PAYMENT (\$) 950.00   |            | Attorney Docket No.                           |                |            | GA0150                              | GA0150C   |             |  |
| METHOD OF DAVISENT (standards)  | 7          | FEE CALCULATION (continued)                   |                |            |                                     |   |             |  |
| METHOD OF PAYMENT (check all that apply)  | -          |   |                |            |                                     |   |             |  |
| Check Credit card Money Other None  |            | 3. ADDITIONAL FEES Large Entity, Small Entity |                |            |                                     |   |             |  |
| Deposit Account:  |            | Fee Fee Fee Fee Fee Fee Fee Fee Description   |                |            |                                     |   |             |  |
| Deposit<br>Account 07-1074  |            | • • •   | Code (         |            |                                     |   | Fee Pald    |  |
| Number  | 105<br>105 |   | 2051<br>2052   |            | _                                   | filing fee or oath<br>provisional filing fee or                 | <del></del> |  |
| Deposit<br>Account<br>Name<br>GENZYME CORPORATION   |            |   |                | C          | over sheel                          |   |             |  |
| The Director is authorized to: (check all that apply)   | 105<br>181 |   | 1063<br>1812 2 | _          |                                     | English specification ling a request for ex parte reexamination |             |  |
| Charge fee(s) Indicated below Credit any overpayments   |            | 2 2,520<br>4 920°                             | 1804           |            |                                     | ication of SIR prior to   |             |  |
| Charge any additional fec(s) or any underpayment of fee(s).   | 1          |   |                | E          | Examiner action                     | -   | $\vdash$    |  |
| Charge (ee(s) Indicated below, except for the filing fee to the above-identified deposit account.   |            | 5 1,840°                                      | 1805 1         |            | Requesting publ<br>Examiner action  | lication of SIR after   | <u>.</u>    |  |
| FEE CALCULATION   | 125        | 1 110   | 2251           | 55 I       | Extension for re                    | ply within first month  | $\vdash$    |  |
| 1. BASIC FILING FEE   |            | 2 420   | 2252           |            |                                     | ply within second month   | <b></b>     |  |
| Large Entity Small Entity   | 125        |   | 2253           |            |                                     | ply within third month  | 950.00      |  |
| Fee Fee Fee Fee Pee Description Fee Paid Code (\$) Code (\$)  |            | 4 1,480                                       | 2254           |            |                                     | ply within fourth month   | 550.00      |  |
| 1001 770 2001 385 Utility filing fee  | ı          | 5 2,010                                       | ŧ .            |            |                                     | ply within fifth month  |             |  |
| 1002 340 2002 170 Design Ming fee   | 140        |   | 2401           |            | Notice of Appea                     |   |             |  |
| 1003 530 2003 265 Plant filing fee  | 140        |   | 2402<br>2403   |            | rung a onerin :<br>Request for oral | support of an appeal  |             |  |
| 1004 770 2004 385 Reissue filing fee<br>1005 160 2005 80 Provisional filing fee   |            | 1 1,510                                       | _              |            | -                                   | ute a public use proceeding                                     |             |  |
|   | 145        |   | 2452           |            | Petition to revive                  |   |             |  |
| SUBTOTAL (1) (\$)   |            | 3 1,330                                       | 2453           | 665        | Petition to reviv                   | e - unintentional   |             |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |            | 1 1,330                                       | 2501           | 665        | Utility issue fee                   | (or reissue)  |             |  |
| Extra Claims   below   Fee Pale   | יי ור      |   | 2502           |            | Design issue fe                     |   |             |  |
| Total Claims Z0"" = X = X   |            | 3 640   | 2503           |            | Plantissus fee                      |   |             |  |
| Transperioerii - 3** = X = X Multiple Dependent   |            | 0 130   | 1460           |            | Petitions to the                    |   | <b>—</b>    |  |
|   |            | 7 50  | 1807           |            | - · · · · · · · · · · ·             | cessing fee under 37 CFR 1.17(q)                                |             |  |
| Large Entity   Small Entity   Fee Fee Fee Fee Fee Description   |            | 6 180   | 1806           |            |                                     | nformation Disclosure Strat<br>patent assignment per            | <del></del> |  |
| Code (\$)   Code (\$)<br>1202 18   2202 9 Claims in excess of 20  | 802        | 1 40  | 8021           |            | property (rimes                     | number of properties)   |             |  |
| 1201 86 2201 43 Independent claims in excess of 3   | 180        | 9 770   | 2809           | 385        | Filing a submiss<br>(37 CFR 1.129)  | sion after final rejection<br>(a))                              |             |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid   | 181        | 0 770   | 2810           |            | •                                   | onal invention to be  |             |  |
| 1204 86 2204 43 ** Reissue independent claims   | 1          |   | l              |            | examined (37 C                      | FR 1.129(b))  |             |  |
| over original patent  | 18         |   |                |            | •                                   | ontinued Examination (RCE)                                      |             |  |
| 1205 18 2205 9 ** Reisaue claims in excess of 20 and over original patent   |            | 2 900   | 1802           | AOÚ        | of a design app                     | epedited examination<br>piloation                               |             |  |
| SUBTOTAL (2) (\$)   | 11         | Other fee (specify)                           |                |            |                                     |   |             |  |
| *Reduced by Basic Filing Fee Pald SUBTOTAL (3) (\$) 950.00  |            |   |                |            |                                     |   |             |  |
| SUBMITTED BY (Complete (if applicable))   |            |   |                |            |                                     |   |             |  |
| Name (Print/Type) Elicabeth Lassen Registreion No. (Allomey/Agent) 31,845 Telephone 508-270-2553  |            |   |                |            |                                     | 1   |             |  |
| Signature Ply aluth flum Date 3/10/04   |            |   |                |            |                                     |   | 4           |  |
| Significant 1 man |            |   |                |            |                                     |   |             |  |

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Patent

Our Docket: GA0150C

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Roberts et al.

Serial No.: 09/826,609

Filed: April 5, 2001

For: GENES DIFFERENTIALLY

ARD 9 9 2004

EXPRESSED IN CANCER CELLS TO DESIGN CANCER VACCINES

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 APR 2 2 2004

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Signature of person mailing correspondence

## RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. § 1.111

Sir:

This communication is being filed in response to the non-final Office Action mailed September 10, 2003 in connection with the above-identified application. A response to the non-final Office Action was originally due December 10, 2003. As part of this Communication, Applicant is filing a Petition for a Three Month Extension of Time, thereby extending the deadline to file a response to the September 10, 2003. Office Action to March 10, 2003. Accordingly, this response is timely filed.